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T-965 P.001/006 F-569

PTC/SB/21 (09-04)
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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE tection of information unless it displays a valid OMB control number Under the Paperwork Reduction Act of 1995, no persons are required to reasoned to a co 10/533,009 April 28, 2005 Fillra Date TRANSMITTAL Mirza Kamran Baig First Named Inventor **FORM** Art Unit 3731 Examiner Name (to be used for all correspondence after initial filing) Attorney Docket Number 1926-00105 Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Term nat Disclaimer below): Extension of Time Request Second Request for Request for Refund Express Abandonment Request Corrected Filing Receipt CD. Number of CD(s) Information Disclosure Statement Landscape Table on CD Remarks Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application
Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Sceales, Starke & Sawall, LLP And<u>rus</u>, Signature Printed name Thomas M. Wozny Reg. No. Date 28,922 Feburary 28, 2006 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Signature Feburary 28, 2006 Date Dorothy A. Harser Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. The will vary depending upon the individual case. Any comments on the arround of time your require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1430, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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**PATENT** 

T-965 P.002/006 F-569

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.	:	10/533,009	)	CERTIFICATE OF FACSIMILE
Applicant	:	Mirza Kamran Baig	)	TRANSMISSION
			)	I hereby certify that this correspondence is
Filed	:	April 28, 2005	)	being facsimile transmitted to:
Title	:	Retrieval Device	)	Commissioner of Patents, P.O. Box 1450,
			)	Alexandria, VA 22313-1450, on this 28th
TC/A.U.	:	3731	)	day of February, 2006. Facsimile No. 571-
Examiner	:		)	273 <sub>7</sub> 8300.
			)	ille to ada
Docket No.	:	1926-00105	)	Worth (Manus February 28, 2006
				Dorothy A. Hauser Date

#### SECOND REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

- 1. A Request for Corrected Filing Receipt was filed by Applicant on December 14, 2005 to correct the Applicant's name from Balg to Baig, copy attached.
- The above-identified application was published under No. US 2006/0030865 Al on February 9, 2006 with the incorrect name of Balg instead of Baig. A copy of the first page of publication No. US 2006/0030865 A1 is attached.
- Applicant herein requests that the U.S. Patent Office issue a Corrected Filing Receipt revising Applicant's name to Mirza Kamran Baig.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 01.2000.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

Reg. No. 28,922

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Milwaukee, Wisconsin 53202 Telephone: (414) 271-7590

Facsimile: (414) 271-5770

FEB 2 8 2006

PTC/SB/21 (09-04)
Approved for use through 07/31/2006, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to
Application Number Rection of information unless it displays a valid OMB control number. 10/533,009 Filing Date April 28, 2005 TRANSMITTAL First Named Inventor Mirza Kamran Baig FORM Art Unit 3731 Examiner Name (to be used for all correspondence after initial filing) Attorney Docket Number 1926-00105 Total Number of Pages In This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Drawing(s) Fee Transmittel Form Appeal Communication to Board Licensing - elated Papers Fee Attached of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information Provisions Application
Power of Attorney, Revocation After Final Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Cladaimer Extension of Time Request below): Request for Corrected Filing Request for Refund Express Abandonment Request Receipt CD, Number of CD(s) Information Disclosure Statement Land3cape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name y€ceales, Starke & Sayvall, Signature Printed name Thomas M. Wozny Reg. No. Date 28,922 December 14, 2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby cartify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mall in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date December 14, 2005 Dórothy A. Hauser Typed or printed name

This collection of information is required by 37 CFR 1.6. The information is required to obtain or retain a benefit by the public whiten is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, propering, and submitting the completed application form to the USPTO. The will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions to reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademerk Office, U.S. Oppartment of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

FEB 2 8 2006

**PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appln. No.	:	10/533,009	)	CERTIFICATE OF FACSIMILE		
Applicant		Mirza Kamran Baig	)	TRANSMISSION		
			)	I hereby certify that this correspondence is		
Filed	:	April 28, 2005	)	being facsimile transmitted to: Commissioner		
Title	:	Retrieval Device	)	of Patents, P.O. Box 1450, Alexandria, VA		
			)	22313-1450, on this 14th day of December,		
TC/A.U.	:	3731	)	2005, Facsimile No. 571-273-8300.		
Examiner	:		)	.// 10a/ )		
			)	Markey December 14, 2005		
Docket No.	:	1926-00105	)	Dorothy A. Hauser Date		

#### REQUEST FOR CORRECTED FILING RECEIPT

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

- 1. Attached is a copy of the Official Filing Receipt received from the PTO in the above application for which issuance of a Corrected Filing Receipt is herein requested.
- 2. There is an error in the "Applicant(s)" section of the Filing Receipt. Applicant's last name, Baig, is spelled incorrectly as Balg. A copy of the Filing Receipt is attached showing the correction.
- 3. Applicant's name is correct on all filing papers as well as the priority PCT application PCT/GB2003/004692, however, on the Declaration Applicant's last name is spelled "Balg." The correct spelling is "Baig."
- 4. Applicant herein requests that the U.S. Patent Office issue a Corrected Filing Receipt revising Applicant's name to Mirza Kamran Baig.

The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 01.2000.

Respectfully submitted,

ANDRUS, SCEALES, STARKE & SAWALL, LLP

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Milwaukee, Wisconsin 53202 Telephone: (414) 271-7590 Facsimile: (414) 271-5770



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMERIONER FOR PATENTS RO. Box 149 Automatica, Vignica 22313-1450

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE RECTO	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/533,009	04/28/2005	3731	450	1926-00105	4	15	2

**CONFIRMATION NO. 1704** 

26753 ANDRUS, SCEALES, STARKE & SAWALL, LLP 100 EAST WISCONSIN AVENUE, SUITE 1100 MILWAUKEE, WI 53202



Date Mailed: 10/25/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mirza Kamrar Balg, The Laurel

Mirza Kamrar Balg, The Laurels, UNITED KINGDOM;

Power of Attorney: The patent practitioners associated with Customer Number 26753.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/GB03/04692 10/31/2003

Foreign Applications

UNITED KINGDOM 2025427.4 11/01/2002

Projected Publication Date: 01/26/2006

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Retrieval device

### (19) United States

# (12) Patent Application Publication (10) Pub. No.: US 2006/0030865 A1 Balg (43) Pub. Date: Feb. 9, 2006

#### (54) RETRIEVAL DEVICE

(76) Inventor: Mirza Kamran Balg, The Laurels (GB)

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(21) Appl. No.: 10/533,009

(22) PCT Filed: Oct. 31, 2003

(86) PCT No.: PCT/GB03/04692

MILWAUKEE, WI 53202 (US)

(30) Foreign Application Priority Data

Nov. 1, 2002 (GB) ...... 2025427.4

#### Publication Classification

#### (57) ABSTRACT

A device for retrieval of a foreign body, such as an undeployed stent from a vessel of a patient, has a central shaft for receiving a guidewire therein. A balloon support extends from the central shaft and has a free end carrying an inflatable balloon. The inflatable balloon is arranged to expand inwardly towards the central shaft on inflation, so as in use to bear against the outer circumference of the stent and hold the stent against the central shaft. The combined stent and device can then be withdrawn from the vessel.

